

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tetsuro NAKASUGI

Application No.: Not Yet Assigned

Filed: March 7, 2002

For: **CHARGED PARTICLE BEAM
EXPOSURE APPARATUS
AND EXPOSURE METHOD**

)
)
) Group Art Unit: Not Yet Assigned

)
) Examiner: Not Yet Assigned



Assistant Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicant brings to the Examiner's attention the documents listed on attached Form PTO-1449. Copies of the listed documents are attached. Applicant respectfully requests that the Examiner consider the documents listed on attached Form PTO-1449 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

The following is a concise statement of relevance of the non-English language documents:

1. Japanese Patent Publication No. 2960746 - discloses apparatus which contains off axis optics.

2. Japanese Patent Publication No. 2865164 - discloses apparatus which contains off axis optics.

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3. Japanese Patent Publication No. 05-047649 - discloses apparatus which contains off axis optics.

An English-language abstract of each document is also enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents. Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: December 20, 2001

By: 

Richard V. Burgujian
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Enclosures
RVB/FPD/sci

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297534-1

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	04329.2758	Application No.	Not Yet Assigned
Applicant	Tetsuro NAKASUGI		
Filing Date	March 7, 2002	Group:	Not Yet Assigned

10/092161
10/09/00
03/07/02

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,989,759	11/23/99	ANDO et al.			
	6,147,355	11/14/00	ANDO et al.			

FOREIGN PATENT DOCUMENTS							
		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
		2960746	07/30/99	JAPAN			ABSTRACT
		2865164	12/18/98	JAPAN			ABSTRACT
		05-047649	02/26/93	JAPAN			ABSTRACT

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	ANDO, A. et al., "A Pattern Forming Method", U.S. Application Serial No.: 09/670,098, filed on September 26, 2000.
	NAKASUGI, T. et al., "Charged Beam Exposure Method and Charged Beam Exposure Apparatus", U.S. Application Serial No. 09/465,932, filed on December 17, 1999.
	NAKASUGI, T. et al., "Pattern Observation Apparatus and Pattern Observation Method", U.S. Application Serial No. 09/669,732, filed on September 26, 2000.

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce